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APPLICATION NUMBER	FILING OR 371 (c) DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/803,188	03/18/2004	Hirofaka Oomori	33035M145

CONFIRMATION NO. 5110

FORMALITIES LETTER



OC000000012831415

Smith, Gambrell & Russell
 Suite 800
 1850 M Street, N.W.
 Washington, DC 20036

Date Mailed: 06/01/2004

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 770 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is **\$900** for a Large Entity

- \$770 Statutory basic filing fee.
- \$130 Late oath or declaration Surcharge.

Replies should be mailed to: Mail Stop Missing Parts
 Commissioner for Patents
 P.O. Box 1450
 Alexandria VA 22313-1450

08/03/2004 HGUTEMA1 00000049 10803188

01 FC:1001
 02 FC:1051

770.00 OP
 130.00 OP

*A copy of this notice **MUST** be returned with the reply.*



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Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



033035M145

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Hirotaka Oomori

Serial No. : 10/803,188

Art Unit : Not Yet Assigned

Filed : March 18, 2004

Examiner : Not Yet Assigned

For : OPTICAL MODULE HAVING AN EXTENSION MEMBER FOR
: CONNECTING A DEVICE TO A LEAD TERMINAL DISPOSED
: BEHIND THE SUB-MOUNT

RESPONSE TO NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL
APPLICATION, FILED UNDER 37 C.F.R. 1.53(b)

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

In response to the Notice to File Missing Parts of Application mailed June 1, 2004,
Applicant submits herewith the following documents relating to the above-captioned patent
application:

- * A copy of the Notice to File Missing Parts of Application;
- * Executed Declaration/Power of Attorney;
- * Assignment Recordation Cover Sheet and Executed Assignment;
- * Check for \$940 to cover the filing fee (\$770), assignment recordation (\$40),
and surcharge (\$130).

If any additional fees are due in connection with this filing, such as fees under 37
C.F.R. §§ 1.16 or 1.17, the Commissioner is authorized to charge the fees to SGR Deposit
Account No. 02-4300. Similarly, please credit any overpayment to SGR Deposit Account No.
02-4300.

Respectfully submitted,
SMITH, GAMBRELL & RUSSELL, LLP

By:

Michael A. Makuch 2004.08.11/19

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Date: August 2, 2004